FORM PTO-1390 (REV 12-29-99)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		5475-US
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) **09/647841**

INTERNATIONAL APPLICATION NO. PCT/US99/07902	INTERNATIONAL FILING DATE 08 APRIL 1999 (08.04.99)	PRIORITY DATE CLAIMED 09 APRIL 1998 (09.04.98)

TITLE OF INVENTION EXPRESSION OF ALFIN1 AND METHODS FOR PRODUCING TRANSGENIC PLANTS HAVING INCREASED ROOT GROWTH AND ROOT SPECIFIC GENE ACTIVATION

APPLICANT(S) FOR DO/EO/US
WINICOV, ILGA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. [X] This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.

2. [ ] This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. [ ] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).

4. [X] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.

5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))

   a. [ ] is transmitted herewith (required only if not transmitted by the International Bureau).

   b. [ ] has been transmitted by the International Bureau.

   c. [X] is not required, as the application was filed in the United States Receiving Office (RO/US).

6. [ ] A translation of the International Application into English (35 U.S.C. 371(c)(2)).

7. [ ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))

   a. [ ] are transmitted herewith (required only if not transmitted by the International Bureau).

   b. [ ] have been transmitted by the International Bureau.

   c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.

   d. [ ] have not been made and will not be made.

8. [ ] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

10. [ ] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. [ ] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. [ ] A FIRST preliminary amendment.

   [ ] A SECOND or SUBSEQUENT preliminary amendment.

14. [ ] A substitute specification.

15. [ ] A change of power of attorney and/or address letter.

16. [X] Other items or information: Copy of Verified Statement Claiming Small Entity Status Certificate of Express Mail

17. [X] The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO $970.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO $840.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO $690.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) $670.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) $96.00

CALCULATIONS PTO USE ONLY

422 Rec'd PCT/PTO 05 OCT 2000

ENTER APPROPRIATE BASIC FEE AMOUNT =	$96.00	
Surcharge of $130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	$	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	13 - 20 =	0	X $18.00	$	
Independent claims	3 - 3 =	0	X $78.00	$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ $260.00	$	
TOTAL OF ABOVE CALCULATIONS =				$96.00	
Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also by filed (Note 37 CFR 1.9, 1.27, 1.28).				$48.00	
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). $40.00 per property +				$	
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			Amount to be refunded:	$	
			charged:	$	

a. [X] A check in the amount of $ 48.00 to cover the above fees is enclosed.

b. [ ] Please charge my Deposit Account No. _____ in the amount of $_____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 13-5100 . A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

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APPLICANT: ILGA WINICOV   DOCKET NO. 5475-A
SERIAL NO.:
FILED:
FOR: EXPRESSION OF ALFIN1 AND METHODS FOR PRODUCING
TRANSGENIC PLANTS HAVING INCREASED ROOT GROWTH
AND ROOT SPECIFIC GENE ACTIVATION

VERIFIED STATEMENT CLAIMING SMALL ENTITY
STATUS - INDEPENDENT INVENTOR

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled EXPRESSION OF ALFIN1 AND METHODS FOR PRODUCING TRANSGENIC PLANTS HAVING INCREASED ROOT GROWTH AND ROOT SPECIFIC GENE ACTIVATION described in the specification filed herewith.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

I acknowledge the duty to file, in this application, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application and any patent issuing thereon to which this verified statement is directed.

Date <u>April 7, 1999</u>
                                ILGA WINICOV

Expression of ALFIN1 and Methods for Producing Transgenic Plants Having Increased Root Growth and Root Specific Gene Activation

Field of the Invention

This invention relates to the use of *Alfin1* gene for the production of transgenic plants having increased root production, increased expression of root specific genes, and general growth. The unexpected vigor of transgenic plants using *Alfin1* transgene and a root specific promoter from the *MsPRP2* gene (containing *Alfin1* DNA binding sites) which is regulated by *Alfin1*, are described in detail.

Background Art

Plant roots are organs adapted to accumulate water and nutrients from the soil and to provide these necessary ingredients for optimal growth and development of the entire plant. Plant roots also carry out specialized functions that contribute to overall plant yield and in case of root or tuber crops, constitute the essential plant yield. Root growth and development have been reviewed (See: Aeschbacher, R.A., Schiefelbein, J.W. and Benfey, P.N. The Genetic and Molecular Basis of Root Development. Annu. Rev. Plant Physiol. Plant Mol. Biol., **1994**, 45,25-45; Schiefelbein, J.W., Masucci, J.D. and Wang, H. Building a Root: The Control of Patterning and Morphogenesis During Root Development. Plant Cell 9, **1997**, 1089-1098). While meristem maintenance and proliferative growth of roots is determined by cell cycle regulation and cyclin expression or plant hormones such as ethylene and auxin can enhance root growth (See: Boerjan, W., Cervera, M-T., Delarue, M., Beeckman, T., Dewitte, Wl, Bellini, C., Caboche, M., Van Onckelen, H., Van Montagu, M. and Inze, D. Superroot, A Recessive Mutation in Arabidopsis, Confers Auxin Overproduction. Plant Cell 7, **1995**, 1405-1419) additional regulatory factors appear also to be necessary for new root growth.

Root encounters with soil environmental conditions determine plant productivity and a well developed root system functions in nutrient and water uptake and determines to a significant extent plant yield. The function of the roots is profoundly influenced by soil nutrient composition and any toxins as well as abiotic

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and biotic environmental stress. Thus, inhibition of shoot growth with continued root growth has been considered as a morphological adaptation to water stress or salt stress (See: Creelman, R.A., Mason, H.S., Bensen, R.J., Boyer, J.S. and Mullet, J.E. Water deficit and abscisic acid cause differential inhibition of shoot versus root growth in soybean seedlings. Plant Physiol., **1990**; 92, 205-214). Increased root mass may also play an important defensive role in metal toxicity, since reduced shoot expansion and yield are considered to be secondary from inhibition of root growth and nutrient accumulation (See: Larsen, P.B., Kochian, L.V. and Howell, S.H. Al Inhibits both shoot development and root growth in *als3*, an Al-sensitive Arabidopsis mutant. Plant Physiol.,**1997**, 114, 1207-1214). Improved root growth and development thus can enhance overall plant productivity and appears to be a desirable trait for manipulation in plants.

The present work is an outgrowth of early efforts to develop crop plants with improved salt tolerance that included the regeneration of plants after selection of salt-tolerant cells in culture (See: Winicov, I. Characterization of salt tolerant alfalfa (*Medicago sativa* L plants regenerated from salt tolerant cell lines. Plant Cell Reports, **1991**; 10, 561-564; Winicov, I. Characterization of rice (*Oryza sativa* L) plants regenerated from salt-tolerant cell lines. Plant Sci., **1996**; 113, 105-111) coupled with identification of genes differentially regulated in the salt tolerant cells and plants (See: Winicov, I. and Bastola, D.R. Salt tolerance in crop plants: New approaches through tissue culture and gene regulation. Acta Physiol. Plant., **1997**; 19, 435-449). Transgenic plants have been constructed in a number of other laboratories to over-express single genes, known to be up-regulated by salt/drought stress in prokaryotes or plants (See: Holmberg, N. and Bulow, L. Improving stress tolerance in plants by gene transfer. Trends in Plant Sci., **1998**; 3, 61-65). However, the molecular mechanisms by which plants can acquire improved long term salt tolerance and maintain their productivity are still not understood and may involve the regulation of many genes (See: Winicov, I. New molecular approaches to improving salt tolerance in crop plants. Annals of Botany **1998**; 82, 703-710), since salt

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tolerance has been considered to be a quantitative trait (See: Foolad M.R., Jones R.A. Mapping salt-tolerance genes in tomato (*Lycopersicon esculentum*) using trait-based marker analysis. Theor. Appl. Genet., **1993**; 87, 184-192). Thus, the identification of regulatory genes that can influence the expression of other genes in a specific manner could be particularly useful in manipulating not only plant growth, but also enhance their tolerance to a variety of biotic and abiotic environmental stress conditions.

Disclosure of Invention

Several gene transcripts have been cloned which are enhanced in the salt-tolerant alfalfa cells and also are salt induced at the mRNA level in whole plants. The present disclosure focuses on two particularly interesting and novel isolates. One is *Alfin1*, which encodes a putative zinc-finger regulatory protein (See: Winicov, I. cDNA encoding putative zinc finger motifs from salt-tolerant alfalfa (*Medicago sativa* L.) cells. Plant Physiol., **1993**; 102, 681-682.). The other is *MsPRP2*, a single copy gene, which encodes a proline-rich protein with a hydrophobic cysteine-rich carboxy terminus that could serve as a linker molecule between the cell wall and the membrane (See: Winicov, I. and Deutch, C.E. Characterization of a cDNA clone from salt-tolerant alfalfa cells that identifies salt inducible root specific transcripts. J. Plant Physiol., **1994**; 144, 222-228; Deutch, C.E. and Winicov, I. Post-transcriptional regulation of a salt-inducible alfalfa gene encoding a putative chimeric proline-rich cell wall protein. Plant Mol. Biol., **1995**; 27, 411-418). Interestingly, both of these genes are expressed primarily in roots and *MsPRP2* is strongly salt inducible upon continued growth of the plants in 87 or 171 mM NaCl. *Alfin1* is a unique gene in the alfalfa genome and appears to be conserved among diverse plants, including rice and Arabidopsis (See: Winicov, I. and Bastola, D.R. Salt tolerance in crop plants: new approaches through tissue culture and gene regulation. Acta Physiol. Plant., **1997**; 19, 435-449; Winicov, I. and Bastola, D.R. Transgenic over-expression of the transcription factor *Alfin1* enhances expression of the endogenous *MsPRP2* gene

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in alfalfa and improves salinity tolerance of the plants. Plant Physiol., *1999*; (in press).

Accordingly, a principal object of the present invention is to enhance the production of transgenic plants having increased root production and general growth.

5   Another object of the present invention is to enhance the vigor of transgenic plants using *Alfin1* transgene and a root specific promoter from the *MsPRP2* gene (which is influenced by *Alfin1*) to enhance overall plant yield.

These and still further objects as shall hereinafter appear are readily fulfilled by the present invention in a remarkably unexpected manner as will be readily

10   discerned from the following detailed description of an exemplary embodiment thereof especially when read in conjunction with the accompanying drawings in which like parts bear like numerals throughout the several views.

Brief Description of the Drawings

In the drawings:

15   **FIG. 1A** shows the sequence of *Alfin1* cDNA. (GenBank accession number L07291).

FIG. 1B shows the expression of *Alfin1* fusion protein in *E. coli*.

FIG. 2 shows the DNA sequences that bind Alfin1 *in vitro*.

FIG. 3 shows the *MsPRP2* genomic region in *M. sativa* (Gen Bank accession

20   number AF 028841).

FIG. 4 shows the schematic representation of *Alfin1* sense and antisense constructs used in transformation of alfalfa.

FIG. 5 shows alfalfa regenerated from *Alfin1* sense and antisense transformed cell lines.

25   **FIG. 6** shows northern blot analysis of *Alfin1* and *MsPRP2* expression in transgenic calli and plants from *Alfin1* sense transformants.

Best Mode for Carrying Out the Invention

Since *Alfin1* cDNA was cloned by differential screening, the function of *Alfin1* as a potential regulatory factor in plant roots was not known and needed to be

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demonstrated. See FIG. 1, in which the Alfin1 cDNA sequence and deduced amino acid sequence are shown. Cys and His residues comprising the putative zinc finger are underlined. Dashed line indicates strongly acidic region of the protein. If *Alfin1* were to act as a transcription factor in root specific regulation, DNA binding of the protein might be expected. To test for sequence specific DNA binding, recombinant Alfin1 protein was first expressed in *Escherichia coli* from the construct shown in FIG. 1B, in which the schematic representation of the pET-29b construct for *Alfin1* fusion protein is shown. The top line of the amino acid sequence shows the S-Tag and the biotinylated thrombin cleavage site of the vector. The *Alfin1* sequence below shows in bold the nine N-terminal amino acids deleted in the construct, the negatively charged region and the putative zinc binding domain with the relevant Cys_4, His/Cys_3 residues underlined. The affinity purified recombinant protein was shown to be authentic Alfin1 protein by amino acid sequencing the amino terminal region of the protein. This sequence was identical to the sequence predicted from cloned cDNA as shown in Table 1 below.

Table 1. Recombinant Alfin1 amino acid sequence is identical with that predicted from the cDNA sequence.

	10	20	30	40	50
AA	TVEEVFSD	YKGRRAGLIK	ALTTDVEKFY	QLVDPEKENL	CLYGFPNET
cDNA	PRTVEEVFSD	YKGRRAGLIK	ALTTDVEKFY	QLVDPEKENL	CLYGFPNET

Recombinant Alfin1 protein was purified and the amino acid sequence determined from thrombin cleaved protein as described in Bastola, D.R., Pethe, V.V. and Winicov, I. (**1998**) Alfin1, a novel zinc finger protein in alfalfa roots that binds to promoter elements in the salt inducible *MsPRP2* gene. Plant Biol. 38, 1123-1135. Numbers indicate position of predicted amino acid from initiating methionine.

To identify DNA sequences that are recognized by Alfin1 protein, the purified Alfin 1 protein was used in the "random DNA binding" assay (See: Rauscher III F.J., Morris J.F., Tournay O.E., Cook D.M., Curran T. Binding of the Wilm's tumor locus

zinc finger protein to the EGR-1 consensus sequence. Science, **1990**; 250: 1259-1262) and the bound DNA purified by four rounds of PCR amplification and binding, followed by cloning of the isolated sequences. Sequence analysis of the isolated clones (FIG. 2) showed a consensus sequence in high affinity binding clones that was either GTGGNG or GNGGTG, confirming that *Alfin1* was indeed a specific DNA binding factor that could potentially function in gene regulation. See FIG. 2 in which **(A)** shows Consensus sequences aligned from individual clones that bind Alfin1, which were isolated after four rounds of gel retardation assays coupled with PCR amplification of the bound sequences and **(B)** shows sequence elements similar to those cloned by PCR amplification of Alfin1 protein bound sequences that are found in the three *MsPRP2* promoter fragments which bind Alfin1 protein *in vitro*.

Alfin1 was found to show a strong root specificity in its expression pattern. Therefore, as a DNA binding protein it would be a likely regulator for root specific gene expression. Three fragments from the 1552 bp root specific and salt inducible *MsPRP2* promoter (FIG. 3) from alfalfa (See: Bastola, Pethe and Winicov, **1998**, supra) were found to bind recombinant Alfin1 protein *in vitro*, while a similar size control DNA fragment showed no DNA binding. See FIG. 3 in which DNA sequence of 1552bp of the *MsPRP2* promoter is shown. (Underlined are: the translation start site at +1; the TATAA and CAAT sequences; the TfiI cleavage sites used for isolating Fragments 1, 2 and 3 for DNA binding experiments with recombinant Alfin1; the potential binding sites for Alfin1 as well as myc and myb transcription factors as discussed in the specification. **(*)** indicates that the potential binding site is found on the complementary DNA strand. This nucleotide sequence data has been assigned accession number AF028841 by Gen Bank, an International genetic information data base operated by the United States of America. The binding to the *MsPRP2* promoter fragments was specific, could be inhibited by EDTA, was dependent on recombinant Alfin1 protein concentration and showed different affinities for each individual fragment. The DNA sequence of each fragment contained a variant of the G rich consensus binding sequence for Alfin1 protein that

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was identified in the random oligonucleotide selection as shown in FIG. 2, and could account for the observed binding in gel retardation assays. The correlation of this finding with both *Alfin1* and *MsPRP2* expression in roots and *MsPRP2* inducibility by salt supported our hypothesis that *Alfin1* could play a role in gene expression and root maintenance in our salt-tolerant plants and suggested a potential role for *Alfin1* in strong root growth and development.

Since *MsPRP2* expression is root specific in alfalfa, the newly characterized promoter region was of interest for identification of potential root specific DNA sequence elements. Although a number of root specific genes have been identified and several promoter regions have been shown to contain sequences for root specific expression of reporter genes (rev. Aeschbacher et al., **1994**, supra), currently no consensus sequence specifying root specific expression has been identified. The 90 bp truncated cauliflower mosaic virus (CaMV) 35S promoter has been shown to contain a *cis-* regulatory element (TGACG) that interacts with the factor ASF1 (See: Katagiri, F., Lam, E. and Chua, N-H. Two tobacco DNA-binding proteins with homology to the nuclear factor CREB. Nature **1989**; 340, 727-730), but other root specific gene promoters evidently do not contain this sequence. The *MsPRP2* promoter contains one 5'CGTCA 3' sequence (reverse of TGACG, the ASF1 binding element) at position -1033, but contains none of the root specific elements implicated in *ToBR7* gene regulation (See: Yamamoto, Y.T., Taylor, C.G., Acedo, G.N., Cheng, C-L and Conkling, M.A. Characterization of *cis-* acting sequences regulating root-specific gene expression in tobacco. Plant Cell, **1991**; 3, 371-382). It was therefore necessary to determine whether *Alfin1* binding sites represent a common element in promoter sequences for genes expressed in roots. A limited list of *Alfin1* binding sequences in promoter regions from genes that are expressed in roots and salt stress are shown in Table 2, below, and demonstrates that all of these promoters contain some variation of the *Alfin1* binding sequence. The CaMV 35S minimal promoter (-95 to -51) which is root specific (See: Lam, E., Benfey, P.N., Gilmartin, P.M., Fang, R-X. and Chua, N-H. Site-specific mutations alter *in vitro*

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factor binding and change promoter expression pattern in transgenic plants. Proc. Natl. Acad. Sci., **1989**; USA 86, 7890-7894), contains an *Alfin1* binding site on the non-coding strand. The plant species represented in Table 2 are diverse and include both monocots and dicots. These results are consistent with our observation that *Alfin1* sequence is widely conserved. In case of *ToRB7*, *SbPRPP1* and *PhyA* promoters, *Alfin1* binding sequences are located in regions that have been identified by deletion experiments as necessary for root expression (See: Yamamoto, Y.T., Taylor, C. G., Acedo, G. N., Cheng, C-L and Conkling, M.A.(**1991**) Characterization of *cis-* acting sequences regulating root-specific gene expression in tobacco. Plant Cell 3, 371-382). Several Alfin1 binding sequences are found in the promoter of another salt/drought inducible transcription factor *Atmyb2* as well as the glutathione S transferase root specific genes induced by auxin or heavy metals such as copper and cadmium. Alfin1 binding sites are also abundant in the sucrose synthase promoters from different gene classes in potato and maize. All promoters of the tuber expressed patatin I multigene family contain conserved Alfin1 binding sites.

Table 2. Alfin1 binding sites found in salt/drought stress induced promoter sequences or root specific expression.

All sequences identified relative to the first ATG codon

Gene	Sequence	GenBank Accession #
MsPRP2 alfalfa root, cell wall salt stress	- 299 5' GTGGGG 3'- 289	AF028841
HVA1 barley ABRE 2 root and shoot osmotic stress	- 93 5' GTGGCG 3' - 87	X78205
Atmyb2 Arabidopsis root, petiole osmotic stress transcription factor	- 559 5' GAAGTG 3'- 555 - 461 5' GTGTGG 3'- 435 - 222 5' GCCGTG 3'- 217	D14712
rab28 maize embryo, vegetative	- 378 5' GTCGTGCAG 3'- 360	X59138

Table 2 (cont.)

5	*sh-1* maize root sucrose synthase	- 974 5' GTGCCG 3'- 969 - 855 5' GTGCTG 3'- 850 - 825 5' GTTGTG 3'- 820 - 749 5' GCTGTG 3'- 744 - 617 5' GTGGGGTGG 3'- 609 - 607 5' GTGGGGTGGGGGGAG 3'- 609 - 492 5' GTGTCG 3'- 487 - 392 5' GTGGGG 3'- 387	Werr et al. 1985
10	*sus3-65* potato root, stem sucrose synthase	-1502 5' GTGATG 3'-1497 -1082 5' GTTGTG 3'-1077 - 891 5' GTGAAG 3'- 886 - 804 5' GAAGTG 3'- 799 - 165 5' GTGACGGTG 3'- 147	U24088
15			
	sus4-15 potato root, sucrose synthase	- 903 5' GTGAGG 3'- 898	U24087
20	*PS20 (class I)** potato tuber, patatin	- 600 5' GAGGTG 3'- 595 - 480 5' GTGAGG 3'- 475 - 297 5' GAGGGGGTG 3'- 289 - 160 5' GCGGTG 3'- 155 - 146 5' GTGAGG 3'- 141	Mignery et al. 1988
25	*salT* rice root, sheath osmotic stress	-1451 5' GTGCAG 3'-1446 - 843 5' GTGACG 3'- 828	Z25811
30	*RCg2* rice root	1445 5' GTGAAG 3' 1450 1456 5' GCTGTG 3' 1461	L27210
35	*GOS9* rice root	- 711 5' GGAGTG 3'- 706 - 300 5' GACGTG 3'- 295 - 204 5' GAGGTG 3'- 199	X51909
	SbPRP1 soybean root	- 942 5' GTGTGGGCGGAG 3'- 931 - 213 5' GAGGTG 3'- 208	IO2746
40	Osmotin tobacco mostly root,PRP prot.	-1447 5' GTGGTG 3'-1442 - 596 5' GTGGTG 3'- 591 - 471 5' GTGGAG 3'- 466	S68111

Table 2 (cont.)

OLP tobacco osmotin like, root	- 296 5' GTGGCG 3'- 291	Sato et al. 1996
phyA tobacco transgenic root	-1133 5' GTGTGG 3'-1128	Adam et al. 1995
TobRB7 tobacco root	-1809 5' GTGGAG 3'-1804 -1751 5' GTGCGGTTG 3'-1742 -1640 5' GGGGTG 3'-1635 -1633 5' GTGTTG 3'-1628 -1245 5' GTGTTG 3'-1240 - 724 5' GATGTGGAG 3'- 716 - 377 5' GTGGAG 3'- 372	Yamamoto et al. 1991
HRGPnt3 tobacco root extensin	-1049 5' GTGCTG 3'-1044 - 917 5' GTGTCGGTG 3'- 909 - 577 5' GGGGTG 3'- 580 - 116 5' GTGGTG 3'- 111 - 100 5' GTGTCG 3'- 95	X13885
Nt103-1 tobacco root GST	- 928 5' GTGGTG 3'- 923	X56268
Nt103-35 tobacco root GST	-1096 5' GAGGTG 3'-1091 - 994 5' GAGGTGGAG 3'- 886 - 644 5' GAGGTTGTG 3'- 633 - 608 5' GTGGGG 3'- 603	X56269
CDeT27-45 resurrection plant	- 703 5' GTGTGGGCG 3'- 695	X69883

* Essentially the same sequences are found in the same order also for *PAT21*, *PS3 and PS27* and to a lesser extent in *PS7*. Patatin Class II genes do not have this format, but have similar sequences on the non-coding strand.

Selection was made for the coding strand on basis of at least two adjacent triplets, one of which is GTG and the other is bordered by a G as defined by *in vitro* Alfin1 binding (Bastola, Pethe and Winicov, 1998, supra). Additional sites were found on the non-coding strand in many of these gene promoters. Numbers in parentheses indicate GenBank accession numbers.

Adam, E., Kozma-Bognar, L, Dallmann, G. and Nagy, F.(**1995**) Transcription of tobacco phytochrome-A genes initiates at multiple start sites and requires multiple cis-acting regulatory elements. Plant Mol.Biol. 29, 983-993.

Mignery , G.A., Pikaard, C.S. and Park, W.D. (**1988**) Molecular characterization of_ the patatin multigene family of potato. Gene 62, 27-44.

Sato, F., Kitjima, S., Koyama, T. and Yamada, Y. (**1996**) Ethylene-induced gene expression of osmotin-like protein, a neutral isoform of tobacco PR-5, is mediated by the AGCCGCC *cis*-sequence. Plant Cell Physiol. 37, 249-255.

Werr, W., Frommer, W.-B., Maas, C. and Starlinger, P. (**1985**) Structure of the sucrose synthase gene on chromosome 9 of *Zea mays* L. EMBO J. 4, 1373-1380.

These results indicate that Alfin1 protein could be a ubiquitous root specific transcription factor, involved in gene regulation under a wide variety of circumstances and could be used to enhance root growth for purposes of nutrient uptake, resistance to biotic and abiotic stress and general increase in plant yield under a variety of growth conditions. It is believed that *Alfin1* is an essential transcription factor for gene expression in plants, especially in plant roots and expected that *Alfin1* binding sequences function in gene promoters for Alfin1 protein regulation of gene expression controlled by these promoters and lead to enhanced mRNA accumulation from these genes. These predictions have been tested in transgenic plants that overexpress *Alfin1*.

To test the effect of Alfin1 protein overexpression and underexpression on endogenous genes in alfalfa, *Alfin1* was cloned in sense and antisense orientation and transformed in alfalfa leaf discs or immature ovaries with the constructs as shown in FIG. 4. (See: Winicov, I. and Bastola, D.R. (**1999**) Transgenic over-expression of the transcription factor Alfin1 enhances expression of the endogenous *MsPRP2* gene in alfalfa and improves salinity tolerance of the plants. Plant Physiol.).

Recombinant Plasmid Construction

Full length coding *Alfin1* clone (pA50) consists of a 904 bp fragment of *Alfin1* cDNA (GenBank accession # L07291) in pBluescript SK- (Stratagene). It contains

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a 30 bp 5' untranslated leader, a complete 771 bp coding sequence and 103 bp of the 3' untranslated region including the translation termination codon (Winicov **1993**, supra). This cDNA fragment was cloned in the sense and antisense orientation in the multiple cloning site of the binary expression vector pGA643 as shown in FIG. 4.

To generate the sense construct, the 939 bp HindIII-XbaI fragment from pBluescript SK- was first subcloned in pFLAG (International Biotechnologies Inc., New Haven, CT), shown as PF-pA50, to gain a restriction site suitable for cloning the cDNA fragment in pGA643. The 957 bp HindIII-BglII fragment from PF-pA50, containing Alfin1 cDNA was then ligated to pGA643 in the multiple cloning site (MCS) 3' to the CaMV 35S promoter to give pGA-Sense. This clone would be predicted to give the complete *Alfin1* coding transcript, but unlike the endogenous *Alfin1* mRNA would carry additional sequences from the vector in its 3'UTR.

To generate the anti-sense construct (pGA-ATS), the 944 bp ClaI-XbaI fragment from pA50 (pBluescript SK-) was directly ligated into the pGA643 MCS site. Although another ClaI site is reported upstream to the MCS in pGA643, we found that only the ClaI site in MCS, indicated in FIG. 4, was cut by the enzyme.

The plasmids, pGA-Sense, pGA-ATS (antisense) and pGA643 (vector) were propagated in *Escherichia coli* strain MC1000 in presence of tetracycline. Freeze-thaw method was used in transforming *Agrobacterium tumefaciens* LBA 4404 with the recombinant binary plasmid. Transformed colonies were selected on 12mg/l rifampicin and 6 mg/l tetracycline. Recombinant transformed colonies were identified by colony hybridization using the *Alfin1* 670bp EcoRI fragment from pA50.

Plant Transformation

Alfalfa (*Medicago sativa* Regen S) salt-sensitive wild type parent plant #1 (Winicov, **1991**, supra) leaves were transformed by *Agrobacterium* co-cultivation on SH growth medium, including 2 mg/l 2,4-D (2,4-dichlorophenoxyacetic acid) and 2 mg/l kinetin (See: Schenk, R.U. and Hildebrandt, A.C. Medium and techniques for induction and growth of monocotyledonous and dicotyledonous plant cell cultures.

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Can. J. Bot., **1972**; 50, 199-204) and supplemented with 50 μM acetosyringone (Aldrich Chemical Co., St. Louis, MO) for 30 to 60 min at room temperature. One of the successful transformations was carried by co-cultivating *Agrobacterium* carrying the pGA-ATS with immature ovaries from the salt-tolerant alfalfa IW#9 (Winicov,

5   **1991**, supra). After two to six days on callus medium, the explants were transferred to selection medium (SH medium supplemented with 300 mg/l carbenicillin and 100 mg/l kanamycin) and incubated 3-4 weeks. The resistant calli were subcultured on the selection medium on a monthly basis. Plants were regenerated from the transformed calli on SH medium (without hormones) supplemented with 100 mg/l

10 kanamycin. Plants with well defined shoots and roots were transferred to peat moss and subsequently to soil.

Callus cultures transformed with the sense construct showed improved growth on 171 mM NaCl and callus cultures transformed with the antisense construct were more sensitive to the same NaCl concentration as shown in Table 3 below. However,

15 both transformants were able to grow well on normal Schenk and Hildebrandt (**1972**, supra) medium in continuous light. These results are consistent with our previous observations that our salt-tolerant calli showed an increase of *Alfin1* transcription as measured by nuclear run-on experiments (See: Winicov, I. and Krishnan, M. Transcriptional and post-transcriptional activation of genes in salt-tolerant alfalfa cells.

20 Planta, **1996**; 200, 397-404) together with slightly increased steady state mRNA levels when the cells were grown on NaCl.

Table 3. Cell Growth of Transformed and Untransformed Alfalfa Cell Lines.

Cell line	Kanamycin	Growth[a] (g wet weight/plate)	
		0 - NaCl	171 mM NaCl
1,1-untransformed	-	5.49 ± 0.81 (n=2)	0.90 ± 0.47 (n=3)
1,1-t-vector(3)[b]	+	4.34 ± 1.35	1.08 ± 0.20

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		(n=4)	(n=6)
1,1-t-*Alfin1*-sense(6)[b]	+	5.06 ± 1.13 (n=7)	1.63 ± 0.38 (n=9)
1,5-untransformed	-	5.36 ± 0.84 (n=3)	1.30 ± 0.48 (n=3)
1,5-t-vector(2)[b]	+	3.83 ± 0.27 (n=6)	1.25 ± 0.27 (n=6)
1,5-t-*Alfin1*-antisense(4)[b]	+	3.39 ± 0.91 (n=7)	0.93 ± 0.23[c] (n=6)

[a]   Growth (mean±SD) after four weeks on SH medium ± 171 mM NaCl, using an initial inoculum of about 0.1 g/callus and 5 calli/plate. n = number of plates.

[b]   Number in parenthesis: number of different individual transformants included in test.

[c]   Brown, dead callus.

The role of *Alfin1* in plant development became more apparent when plants were regenerated from the transgenic calli. *Alfin1* expression appeared to be necessary for root production, since *Alfin1* antisense expressing calli regenerated shoots but were deficient in root production and the few plants in which minimal root production was obtained, did not survive in soil for more than a few weeks. In contrast, calli containing vector only, or sense constructs regenerated plants that are vigorous, flower and set seed, despite the fact that the sense constructs are under the full CaMV 35S promoter and express the transgene in both roots and leaves. FIG. 5 shows a composite picture of: 1) two large plants expressing *Alfin1* in the sense orientation; 2) the only small antisense plant that survived in soil for a few months; and 3) some root-less antisense plants after several months on regeneration medium. It is clear from these results that *Alfin1* expression is essential for root development and plant growth in soil and supports the belief that Alfin1 protein is a ubiquitous root specific transcription factor.

Since our DNA binding experiments with recombinant *Alfin1* showed specific binding to the *MsPRP2* promoter, *MsPRP2* mRNA levels were measured in transgenic calli and plants overexpressing *Alfin1*.(See: Winicov and Bastola, **1999**, supra). In transgenic calli and plant roots *Alfin1* overexpression was accompanied by increased⁻

5 levels of *MsPRP2* mRNA as shown in FIGS. 6A and 6C in which the Callus. data shows, in Lanes 1 and 2: RNA isolated from untransformed salt-tolerant callus grown ± 171 mM NaCl for four weeks. Lane 3: RNA isolated from untransformed salt-sensitive callus. Lane 4: RNA isolated from salt-sensitive callus transformed with pGA vector. Lanes 5-8: RNA isolated from salt-sensitive callus transformed with *Alfin1*

10 sense construct; S1, S2, S4 and S6 are independently transformed lines. Lane 9: RNA isolated from S2 transformed callus grown in 171 mM NaCl. Each lane contained 10 μg total RNA.

Each blot was hybridized sequentially with the following probes: *Alfin1*, large EcoRI fragment (FIG. 1); *MsPRP2*, the carboxyterminal and 3'untranslated region

15 fragment (Winicov and Deutch, **1994**, supra), *Msc27*, fragment of a constitutively expressed alfalfa gene. In each cell line transformed with *Alfin1* sense construct, *Alfin1* overexpression is accompanied by increased levels of *MsPRP2* mRNA.

FIG. 6B shows that plants transformed with *Alfin1* express the transgene as monitored with the *PGA-vector* tag in *Alfin1* mRNA.

20 FIG. 6C shows *Alfin1* and *MsPRP* expression in Roots and Leaves. Total RNA was isolated from roots and leaves of the same plant. #1 is control salt-sensitive plant, IV is empty vector transformed plant, S1, S2, and S3 are plants transformed with *Alfin1* sense construct and regenerated from transformed callus. #9 is a salt-tolerant control plant. Each blot was hybridized sequentially with the following

25 probes: *Alfin1*, large EcoRI fragment (FIG. 1); *MsPRP2*, the carboxyterminal and 3'untranslated region fragment; *Msc27*, fragment of a constitutively expressed alfalfa gene to monitor for loading of each lane. Each lane contained 10μg of total RNA. These results demonstrate that increased expression of *Alfin1* led to increased levels of mRNA accumulation from the endogenous *MsPRP2* gene, consistent with *Alfin1*

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role in *MsPRP2* transcriptional activation. However, this transcriptional activation was root specific, since leaves from the same transgenic plants showed increased *Alfin1* mRNA levels without a concomitant increase in *MsPRP2* transcripts, implying an interaction between *Alfin1* and other gene product(s) present in the root for *MsPRP2* transcriptional activation. Because *Alfin1* contains a very acidic domain as shown in FIG. 1B, just upstream from the postulated zinc finger region, Alfin1 could interact also with additional factors in binding to DNA. Interestingly, the *MsPRP2* promoter sequence shown in FIG. 3 contains numerous myc and myb recognition sites, several of which lie in close proximity to the *Alfin1* binding sites, suggesting the possibility of interactions with these transcription factors, similar to those already shown for myc and myb in Arabidopsis (Abe et al., 1997, supra).

The results obtained support a central role for *Alfin1* in root development and root specific gene expression. Additional experiments support the role of *Alfin1* overexpression in enhanced root growth under normal and stress conditions. Plants compared under the test conditions include the salt-sensitive parent #1 from which leaves were used for transformation experiments, transgenics transformed with the vector alone and transgenics which express high levels of *Alfin1*. Controls also include salt tolerant plant #9, which when transformed with the antisense construct that could not develop roots. For measurement of root growth and salt tolerance of the *Alfin1* overexpressing transgenic plants, rooted cuttings were established in containers in PERLITE (Paxlite, pax Co., Salt Lake City, UT) from the above plants and watered daily with a regimen of water to flush out any accumulation of salts, followed by thorough watering with 1/4 strength Hoagland's solution as described in (Winicov, 1991, supra). Preliminary results of plant root and shoot growth measurements in (cm) length as well total mass by weight (g) after four weeks confirmed our theory. As shown in Table 4, below, plants transformed with *Alfin1* show enhanced root growth (438% above parental control) as the postulated role of *Alfin1* in root development would predict. Current experiments extended these measurements to other individually regenerated *Alfin1* containing transgenic plants.

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Table 4. Enhanced root growth by transgenic Alfalfa overexpressing *Alfin1*

Experiment 1 (28 days)				
Plant	Root length[a] (cm)	%	Root wt.[a] (g)	%
#1 Parent	10.3 ± 4.3 (n=3)	100	0.32 ± 0.23 (n=3)	100
#1+vector transformed	13.2 ± 6.5 (n=3)	128	0.65 ± 0.50 (n=3)	203
#1+*Alfin1*-1 sense transformed	19.0 ± 1.3 (n=3)	184	1.39 ± 0.83 (n=3)	438
#9-control salt-tolerant[b]	14.0 ± 6.2 (n=11)	136	0.55 ± 0.37 (n=11)	172

Experiment 2 (20 days)				
Plant	Root length[a] (cm)	%	Root wt.[a] (g)	%
#1 Parent	6.4 ± 2.6 (n=8)	100	0.35 ± 0.18 (n=8)	100
#1 + vector transformed	9.5 ± 2.8 (n=5)	148	0.35 ± 0.19 (n=5)	100
#1+*Alfin1*-1 sense transf.	19.4 ± 2.5 (n=21)	303	1.87 ± 0.91 (n=21)	534
#1+*Alfin1*-2 sense transf.	19.3 ± 1.9 (n=11)	302	1.09 ± 0.38 (n=11)	311
#1+*Alfin1*-3 sense transf.	17.7 ± 4.5 (n=6)	277	1.06 ± 0.35 (n=6)	303
#9-control salt-tolerant[b]	17.5 ± 3.0 (n=15)	273	0.85 ± 0.41 (n=15)	243

[a]   All measurements expressed as M±SD of replicate cuttings of individual plants after growth for the indicated time. *Alfin1*-1, *Alfin1*-2, *Alfin1*-3 are three different plants regenerated from different transformation events. Average daytime temperatures in the greenhouse were warmer in Exp. 2.

b   This is a salt-tolerant plant selected in tissue culture on 171 mM NaCl and regenerated as previously described (Winicov, **1991**, supra).

Comparative root growth experiments with cuttings of the above described
5   plants also was carried out in soil, using equal size pots under greenhouse conditions and a regular watering schedule. While growth rates can vary between PERLITE and soil, the relative rates of root and shoot growth between the various test plants and controls remained substantially the same.

It was also believed that transgenic plants overexpressing *Alfin1* with improved
10   root development would also show improved salt-tolerance. Salt-tolerance was measured as described previously (See: Winicov, I. Characterization of salt tolerant alfalfa (*Medicago sativa* L plants regenerated from salt tolerant cell lines. Plant Cell Reports, **1991**; 10, 561-564.). The plants were established in Conetainers as above, cut back and divided into two groups with at least five replica cuttings of each
15   individual regenerated plant in each group. Group I (control, or 0% NaCl), was treated with the regimen of water and 1/4 strength Hoagland's as described above. Group II was treated with the Hoagland's solution containing 0.5% or more NaCl. Tolerance is expressed as number of survivors per number of replica plants in each group after treatment. Plant growth is quantitated by harvesting the shoots of
20   surviving plants as the end of each experiment and calculated as the average total shoot fresh weight per plant in each group. This value represents the net increase in mass during the test period under the given salt conditions.

Although increased root growth in the *Alfin1* overexpressing plants under normal conditions together with more vigorous shoot growth was expected, the salt-
25   stress test may not accurately predict salt-tolerance capabilities of *Alfin1* overexpression under tissue specific regulatory conditions. Our current transgenic plants overexpressing *Alfin1* under the 35S promoter express this gene product inappropriately in the leaves which under stress conditions may be influenced adversely by the inappropriate presence of this gene product. However, tissue

specific regulation of Alfin1 function seems to mostly override this potential problem. Thus accurate assessment of enhanced biotic and abiotic resistance of the *Alfin1* overexpressing transgenics may be even improved by construction of new transgenics in which *Alfin1* expression will be more tightly under the control of a root specific promoter. Such a promoter, which is the *MsPRP2* promoter shown in FIG. 3, (has been cloned), for construction of root specific *Alfin1* transgene and to direct additional *Alfin1* expression to roots. Essentially, the 35S promoter for the sense and antisense constructs shown in FIG. 4 is replaced with the 1552 bp promoter of *MsPRP2* and the transformation repeated as before. Since this promoter also binds Alfin1 protein as demonstrated by our current tests, it is believed that these root specific *Alfin1* transgenics will perform even better than the *Alfin1* sense transgenics under the 35S promoter shown by the current results obtained.

Creation of transgenic plants containing the *Alfin1* cDNA transgene can be accomplished by *Agrobacterium* transformation protocol as described or other methods commonly used in plant molecular biology, such as electroporation, infiltration, polyethylene glycol mediated gene transfer in protoplasts, liposome mediated transfer or particle bombardment (Plant Molecular Biology Manual, 2nd edition, Eds. Gelvin, S.B. and Schilperoort, R.A. Kluwer Academic Publishers, Dordrecht, Boston, London, **1998**).

The *Alfin1* transgene can be under the control of the CaMV 35S promoter as described. In addition the *Alfin1* transgene can be placed under the control of the full or partial 1500 bp *MsPRP2* promoter FIG. 3 (Bastola, Pethe, and Winicov, **1998**, supra) using appropriate restriction sites in the promoter region and *Alfin1* sense construct described in FIG. 4 to construct a new *Alfin1* expression vector for creation of transgenic plants overexpressing the Alfin1 protein.

The full or partial *MsPRP2* promoter sequence (Bastola, Pethe and Winicov, **1998**, supra) can be also used by itself or in conjunction with other promoter sequence elements to construct new composite promoter regulatory sequences, (using routine molecular biology techniques that re-ligate specific DNA fragments cut by

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restriction enzymes) that would give root specific and/or Alfin1 protein regulated expression to other genes transferred into plants.

The Alfin1 protein binding sequences (Bastola, Pethe and Winicov, **1998**, supra) can be also used by themselves, as concatenates or in conjunction with other promoter sequence elements to construct new composite promoter regulatory sequences (using routine molecular biology techniques that re-ligate specific DNA fragments cut by restriction enzymes) that would give root specific and/or Alfin1 protein regulated expression to other genes transferred into plants.

It is believed that introduction of Alfin1 binding sites in appropriate promoter contexts could lead to regulation of additional genes by Alfin 1.

It is further believed that any molecular interference with *Alfin1* (or its analogue) expression or function in plant roots by any compound or molecule will inhibit plant root development, plant growth and, as such, effectively act as herbicide.

Finally, the increased root growth by plants overexpressing *Alfin1* increases plant survival under saline conditions and continues to provide growth under conditions where the parent plants and plants transformed with the empty vector produce a minimal shoot yield as shown. See: Tables 5A, 5B, 6 and 7 below.

Table 5A. Enhanced Root Growth by Transgenic Alfalfa Overexpressing *Alfin1* in Presence of 171 mM NaCl.

Plant	Root length[a](cm)	%	Root wt.[a](g)	%
#1 Parent	3.8 ± 0.8 (n=7)	100	0.20 ± 0.12 (n=7)	100
#1+*Alfin1*-1 sense transf.	15.0 ± 3.5 (n=7)	395	0.78 ± 0.39 (n=7)	350
#1+*Alfin1*-2 sense transf.	11.0 ± 4.8 (n=7)	289	0.55 ± 0.40 (n=7)	275
#9-control salt-tolerant[b]	7.1 ± 3.5 (n=7)	189	0.34 ± 0.17 (n=7)	170

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[a] All measurements expressed as M ± SD of replicate cuttings of individual plants. The rooted cuttings (root size approximately 1 cm) were planted in Conetainers with PERLITE and watered with 1/4 strength Hoagland's solution for 6 days. From day 7 until day 20 watering continued with the Hoagland's solution containing 171 mM NaCl. Shoot death occurred from day 11 through day 20 as depicted in Table 2. All roots were measured on day 20.

[b] This is a salt tolerant plant selected in tissue culture on 171 mM NaCl and regenerated as previously described (Winicov, 1991 supra).

Table 5B. Shoot survival by transgenic Alfalfa overexpressing *Alfin1* in 171 mM NaCl.

Plant	Surviving on Day 11[a]	%	Surviving on Day 11[a]	%
#1 Parent	5/7	71	1/7	14
#1+*Alfin1*-1 sense transf.	6/7	86	3/7	43
#1+*Alfin1*-2 sense transf.	6/7	86	5/7	71
#9-control salt-tolerant[b]	7/7	100	6/7	86

[a] All measurements expressed as M ± SD of replicate cuttings of individual plants. The rooted cuttings (root size approximately 1 cm) were planted in Conetainers with PERLITE and watered with 1/4 strength Hoagland's solution for 6 days. From day 7 until day 20 watering continued with the Hoagland's solution containing 171 mM NaCl. Shoot death occurred from day 11 through day 20 as depicted in Table 2. All roots were measured on day 20.

[b] This is a salt tolerant plant selected in tissue culture on 171 mM NaCl and regenerated as previously described (Winicov, 1991 supra).

Table 6. Growth Properties of *Alfin1* 'sense' transformed plants on 128mM NaCl.

Plant	Survival	New leaf growth[a] (g/plant)	%
#1 (parent)	4/5	0.56±0.32	100
#1 + vector	4/5	0.42±0.32	75
#1 + sense-1	7/7	1.40±0.17	250
#1 + sense-2	7/7	1.85±0.23	330

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#1 + sense-3	3/3	1.45±0.32	259
IW9[b]	7/7	1.10±0.18	196

[a]   Rooted multiple cuttings from each plant were established in Conetainers in PERLITE for six weeks and grown on 1/4 strength Hoagland's solution. All shoots were then cut back to the crown. Growth was continued from that point on 1/4 strength Hoagland's supplemented with 128 mM (0.75%) NaCl. The newly regrown shoots were harvested and weighed after 17 days. Weight in g (M±SD).

[b]   Salt-tolerant plant regenerated after selection in tissue culture from parent plant #1 (Winicov, **1991** supra).

Further tests demonstrating that plants over-expressing *Alfin1* outperformed the parent plants and plants transformed with the empty vector in short yield under test conditions, which was consistent with their enhanced root development.

The results are shown below in Table 7.

Table 7. Shoot growth properties of *Alfin1* 'sense' transformed plants on 1/4 strength Hoagland's solution.

Plant	Survival	New leaf growth[a] (g/plant)	%
#1 (parent)	5/5	0.42±0.10	100
#1 + vector	5/5	1.07±0.61	254
#1 + sense-1	7/7	2.36±0.33	562
#1 + sense-2	5/5	1.77±0.74	421
#1 + sense-3	3/3	1.60±0.71	381
IW9[b]	7/7	1.41±0.35	335

[a]   Rooted multiple cuttings from each plant were established in Conetainers in PERLITE for six weeks and grown on 1/4 strength Hoagland's solution. All shoots were then cut back to the crown. Growth was continued from that point on 1/4 strength Hoagland's. The newly regrown shoots were harvested and weighed after 17 days. Weight in g (M±SD).

[b]   Salt-tolerant plant regenerated after selection in tissue culture from parent plant #1 (Winicov, **1991** supra).

From the foregoing it is readily apparent that a new and useful embodiment of the present invention has been herein described and illustrated which fulfills all

of the aforestated objects in a remarkably unexpected fashion. It is, of course, understood that such modification, alterations and adaptations as may readily occur to the artisan confronted with this disclosure are intended within the spirit of this invention which is limited only by the scope of the claims appended hereto.

Claims

1.   The method of creating a transgenic plant comprising applying *Alfin1* transgene as a binding transcription factor to a non-transgenic plant.   —

2.   The method of Claim 1 in which said *Alfin1* transgene is expressed into said non-transgenic plant.

3.   The method of Claim 2 in which said transgenic plant obtains enhanced root growth and enhanced expression of root specific genes.

4.   The method of Claim 2 in which said transgenic plant obtains enhanced resistance to stress.

5.   The method of Claim 2 in which said transgenic plant obtains enhanced yield of plant vegetative growth.

6.   The method of Claim 4 in which said stress is biotic.

7.   The method of Claim 4 in which said stress is abiotic.

8.   The method of Claim 5 in which said plant vegetative growth comprise enhanced yield of plant root and improves tuber, plant fruit and plant seed growth.

9.   The method of Claim 1 in which said *Alfin1* transgene is under full or partial control of a 1500 bp *MsPRP2* promoter.

10.   The method according to Claim 9 in which said *MsPRP2* promoter is used as a root directed promoter in transgenic plants to express genes.

11.   The method according to Claim 10 in which said *MsPRP2* promoter is used as a root directed promoter in transgenic plants to express *Alfin1*.

12.   The method of using Alfin1 protein binding sequences by themselves, as concatamers, or in conjunction with other promoter sequence elements to construct new composite promoter sequences and provide root specific and/or Alfin1 protein regulated expression to other genes transferred into plants.

13.   An Alfalfa *MsPRP2* promoter having the sequence shown in FIG. 3.

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This is Alfin cDNA sequence of the clone as submitted to GenBank #L07291

GAATTCCCTTGACTTTTGTTGAAATTGAGGATGGAAGGAATGGCACAGCACCCA
GTACCTCGAACTGTTGAAGAAGTTTTTAGCGATTACAAAGGCAGACGCGCCGGT
TTGATCAAAGCTCTCACTACTGACGTTGAAAAGTTTTACCAGCTCGTCGATCGC
GAAAAGGAGAATTTGTGCCTCTATGGGTTTCCAAATGAAACATGGGAAGTGAAC
TTGCCTGTTGAGGAAGTGCCTCCTGAACTTCCCGAGCCAGCATTGGGTATAAA
CTTTGCTCGGGATGGAATGCAGGAGAAGGACTGGTTATCACTGGTTGCAGTTC
ACAGTGACTCATGGCTGCTCGCTGTTGCTTTCTATTTTGGTGCCCGCTTTGG
ATTTGGTAAGAATGATAGGAAAAGGCTTTTTCAGATGATAAATGA
TCTGCCCACAGTCTTTGAGCTTGCAACAGGAACTGCTAAGCAATCAAAGGAC
CAACTGACTGCTCACAACAATGGTAGCAATAGCAAATACAAATCAAGTGGAAAGT
CCCGCCAGTCTGAATCCCAGACCAAGGGTGTGAAGATGTCTGCACCGGTCAAAG
AAGAGGTTGACAGTGGAGAAGAAGAGGAAGAAGATGATGATGAACAAGGTGCAAC
CTGTGGTGCTTGTGGTGATAATTATGGCACCGATGAATTCTGGATCTGTTGT
GATATGTGCGAGAAATGGTTCCATGGTAAATGTGTTAAAATTACTCCTGCCAAG
GCTGAACACATCAAGCAATACAAGTGCCCTGGCTGCAGTATCAAGAAGCCAAGAA
TTGGATAGCTCTGAACGTTTGGACCATTAGCGGGCAAGATTAAAATGTTTGTTA
GCATTCTGTAAGTGAAACCATTGTTGTCTGCATAGTCACTTAAAGGGAATTCC

FIG. 1A

MKETAAAKFERQHMDSPDLGTLVPRGSMAISDPNSSSVDKLG

MEGMAQHPVPRTVEEVFSDYKGRRAGLIKALTTDVEK
FYQLVDPEKENLCLYGFPNETWEVNLPVEEVPPELPEP
ALGINFARDGMQEKDWLSLVAVHSDSWLLAVAFYFG
ARFGFGKNDRKRLFQMINDLPTVFELATGTAKQSKDQ
LTAHNNGSNSKYKSSGKSRQSESQTKGVKMSAPVK EE
VDSGEEEEEDDDEQGATCGACGDNYGTDEFWICCDM
CEKWFHGKCVKITPAKAEHIKQYKCPGCSIKKPRIG
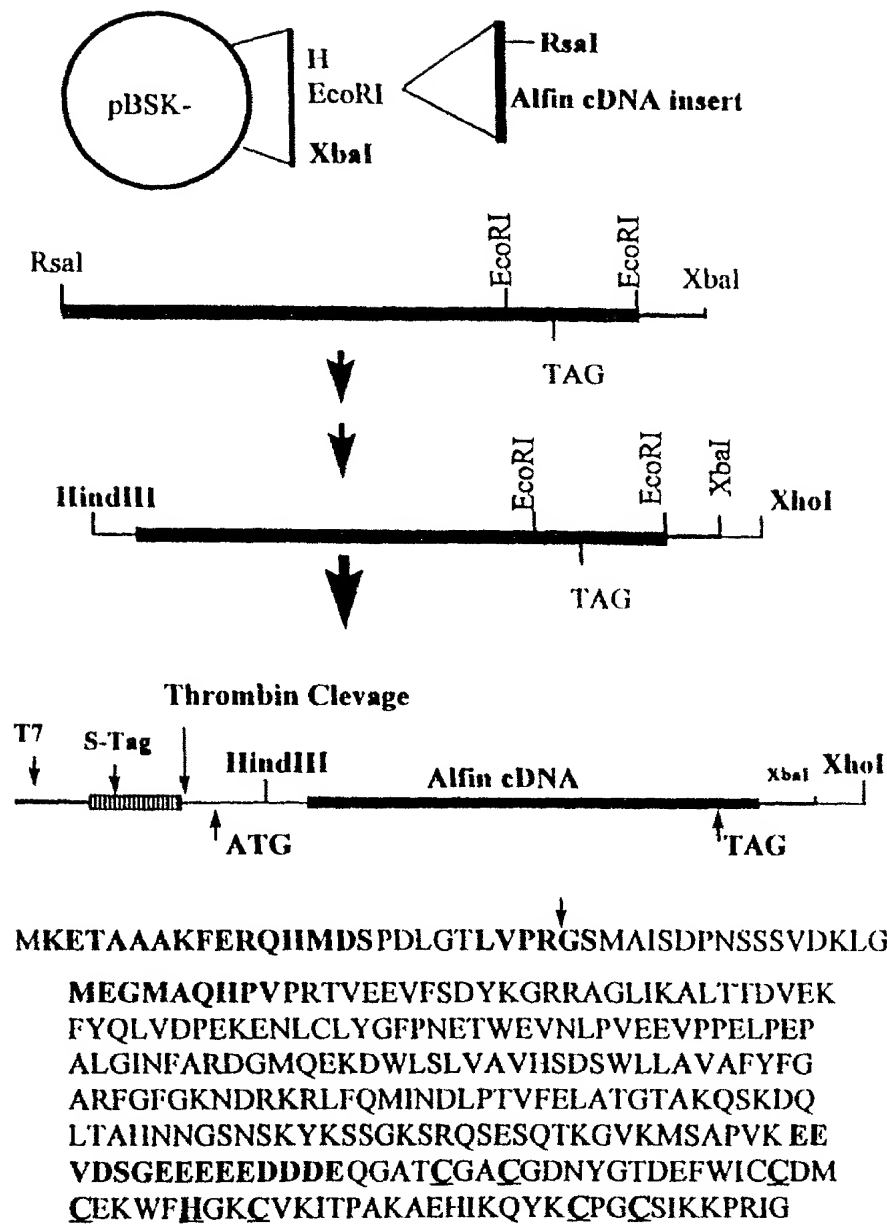
FIG. 1B

DNA Sequences that bind Alfin *in vitro*

Clone	Sequence	
	5'primer	Insert
6	5'GACG-GCTGGGGGAAAGTGAGCGGTGGCCC 3'	
7*	GACG-CAAAGGGGGTGGGGACGGCGCTTTT	
18*	GACG-CAAAGGGGGTGGGGACGGCGCTTTT	
15	GACG-GGTAGGGTGTGGGGGGTGTTTTATT	
16	GACG-GGGATAGGTGAGGTGGAGGGACAAT	
22	GACG-GCAGAAGGGAGAAACGTGGAGAATC	
25	GACG-GCGGGAAGGAGTGTGGTAGAGAGCC	
21	GACG-AAGGAAGGACGGCAGCGTGTTGC	
5	GACG-AAAANTTANANGTGTAGGTGGGACT	

* - individual isolates

Consensus: 2-5 Triplets bordered by G and containing at least one GTG, most conforming to the high affinity Zif268 binding sites

MsPRP2 Fragments

211	coding	5' $^{-299}$ GTGTGGGGCCC^{-289}
	non-coding	5' $^{-390}$ AAAGTGGGGGCA^{-398}
218	non-coding	5' $^{-826}$ GATGTGTGTGTTC^{-838}
187	non-coding	5' $^{-504}$ CAAGTGGTGCTG^{-515}
	non-coding	5' $^{-463}$ AAAGCGGTGCTG^{-414}

FIG. 2

TTTTATAAATATTTAAGCTTGATAATAATTTTGCGATCTATATATAAGCCAC-1501

TACCAATTTAAAATTATATATATATATATATATATATATATATATATAATAATTTTTATT-1441

ATATTTATTACGTTGATGGTAAAAAAATAAATATAATTTGTTACCATTTAAAAGTCATAA-1381

ATATAGTACAATCCAACCCTTTGAGAGGTTAATGTGTGTGCGGATTTTCTAGATAAACAA-1321

GGTGCCATTCACGATTCTTCTTGGTGCAGCTTGGAGAACCCTATCCTGGGCTTGGAAGAT-1261

TTACTTCTTGTTGATGCTTCTAGAGTACAGCTCCTTAAGGCTGTAGTCTAGTTTTTTTTT-1201

TCATCCTTCCTACCAAAAAAAAAAAAAAGTCATAAATATAGTTTATACATATAACTTTAATA-1141

AAAATAAAAAAATTTCATCCCTAAAAACATAGTAGAAATTTCATAAAAAAAAATATTGTTT-1081

ATAATTTACATGCCGTTACGGTAAAAAATGGATAAATTGGGTATGGAGTACTAGTAATTA-1021

ATAAGGTTCATTGGTTAAAAAAACTAAAAAATAATTTCTCTCCTGATTTATATGAAATGA-961

CATTTTTTTGGAACATGAAGGGTATTGATTTTTACCACGTTTTACACCTTTCAAAGCCAT-901

TCAAGGATGAATATAGATTTTTGGGCGATCAAACACAA<u>GAATC</u>ATTACGATAACATGCTT-841
                                                          TfiI

TGGAA<u>CACACAC</u>ATGCTTAAATTAATG<u>GTTGGA</u>GTATCAAATTTTAAAATATTGTTGTCA-781
Alfin1*/myc   myb*

ATACA<u>TACCCCGTC</u>AATCTTCTTTTTTTTTACCCAATAAACATTGAAATGTTGCTTCTTTC-721
Alfin1*

GTTAAGCATAAAAACATCAAAGTCTAGCAAAATGTTGTTTTTGCGATGACACATTTCATA-661

TAGTTTAAAGGATGCAT<u>GATTCG</u>ATTACAAAAACAAAATACTAATAATTCTAGCACAAAG-601
                 TfiI

TTTAAAGCAAGATTATAAAGCTTCATAGC<u>CATGTG</u>GATATTCATTTAGAAATATAGATTAG-541
                       myc

ATTGCCCCTTTCATCACGGGT<u>CTAACAGCACCAC</u>TTGTCACTACATGTCAAAAATGTCCT-481
                      myb/Alfin1*/myc

CTAGTA<u>CAGCACCGC</u>TTTTTACTT<u>GATTC</u>CCCTTGTCCATGCATGAAAAAAATCAAAACA-421
      Alfin1*   TfiI

ATATTTGGACACACAAACTTGC<u>CCCCAC</u>TTTCGTTTTTCTTTCTGCCCTAGTTTGTTTGA-361
                Alfin1*

GACTCATATTGATCAAATTTGGCTATGAATTCAAACAAAAAATTCACTCTACCCATTG<u>CA</u>-301
                                    myc\

<u>TGTGTGGGG</u>CCCACATATAAATCCATGAAGGATTTCAATGTCCATCCAAGTCAAT<u>GATTC</u>-241
Alfin1   TfiI

AACATATATAACATTGAATAATTTAATTCCAATTTGCAGTATTATGATTTAGATTGATTG-181

CTGCAATACGGTCCGTGAATGTGATCACTCACGAGAAAGAGGTATCAAAATTTCAAGGTA-121

TTTTATTTATTTTTAA<u>CAAAT</u>AAAATTTCAAGGTCTTGTTCACCA<u>TATAAAC</u>CTCCTCAC-61

TCACACCCAATTCTCTTAAGTGTATGACTTCATAGTACACTACACTACTTTCTTTGAAAC-1

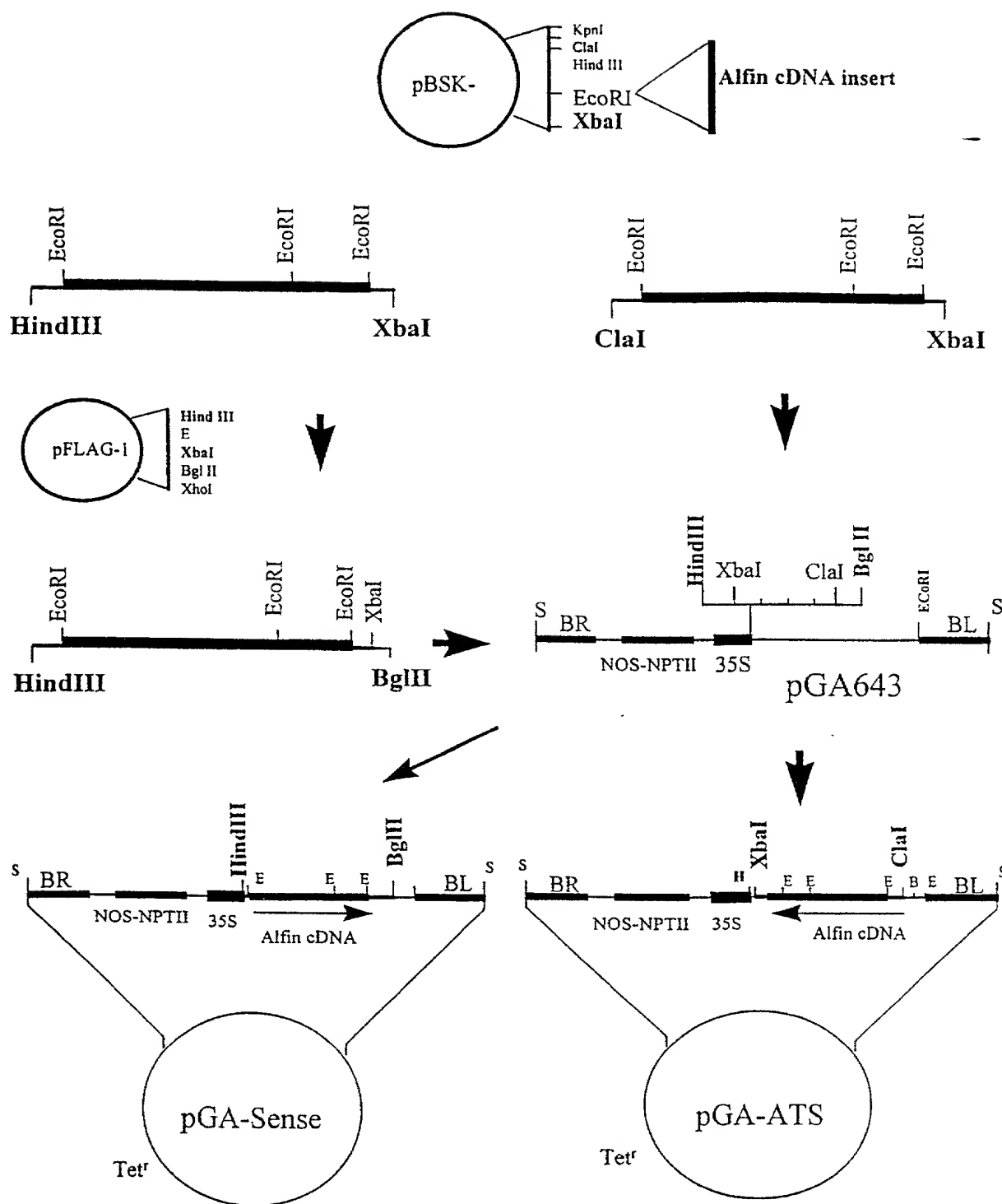<u>ATG</u>GCTAACTATGCTCTAGCCAATGTTTTCATCCTTCTCTTGAACTTGAGTACCTTACTC+60
Met

FIG. 3

FIG. 4

FIG. 5

A

B

FIG. 5 (continued)

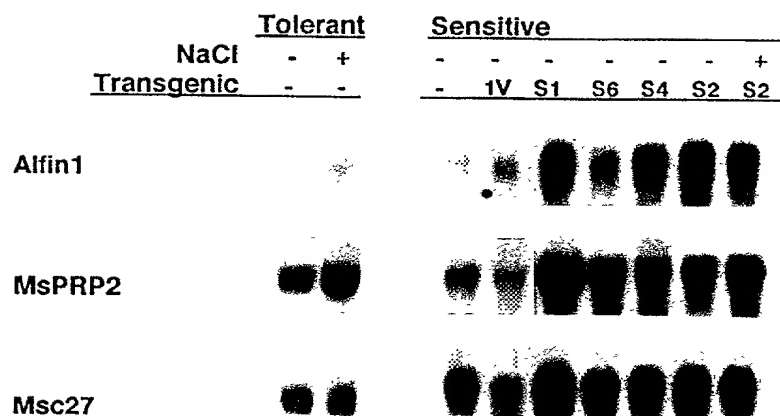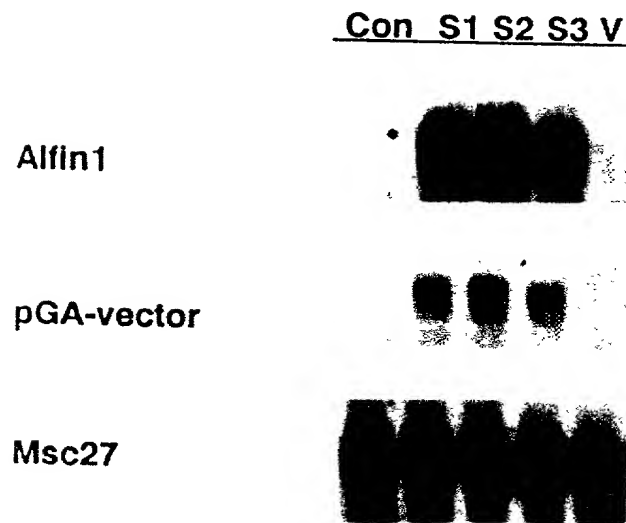## CALLUS
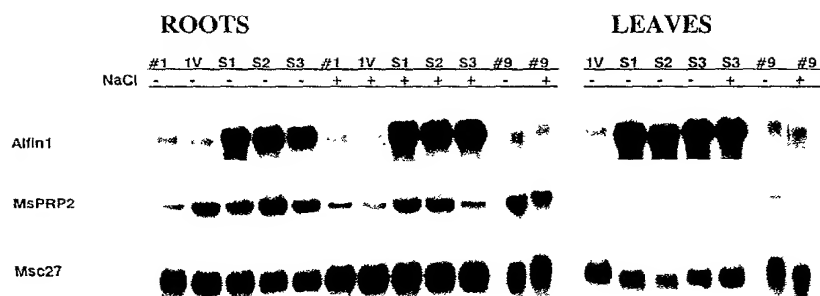
		Tolerant		Sensitive						
NaCl		-	+	-	-	-	-	-	-	+
Transgenic		-	-	-	1V	S1	S6	S4	S2	S2

Alfin1

MsPRP2

Msc27

FIG. 6A

Con S1 S2 S3 V

Alfin1

pGA-vector

Msc27

FIG. 6B

SUBSTITUTE SHEET (RULE 26)

ROOTS   LEAVES

FIG. 6C

DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)	Attorney Docket Number	5475-US
	First Named Inventor	WINICOV
	COMPLETE IF KNOWN	
	Application Number	/
	Filing Date	
☒ Declaration Submitted with Initial Filing **OR** ☐ Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	Group Art Unit	
	Examiner Name	

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

EXPRESSION OF ALFIN1 AND METHODS FOR PRODUCING TRANSGENIC PLANTS HAVING INCREASED ROOT GROWTH AND ROOT SPECIFIC GENE ACTIVATION

the specification of which   *(Title of the Invention)*

☐ is attached hereto
OR
☒ was filed on (MM/DD/YYYY) 04/08/1999 as United States Application Number or PCT International

Application Number PCT/US99/07902 and was amended on (MM/DD/YYYY) [   ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	NO
			☐	☐	☐
			☐	☐	☐
			☐	☐	☐
			☐	☐	☐

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	
60/081,348	04/08/1998	☐ Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/128,083	04/07/1999	

[Page 1 of 2]

DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☐ Customer Number [_____]
OR
☒ Registered practitioner(s) name/registration number listed below
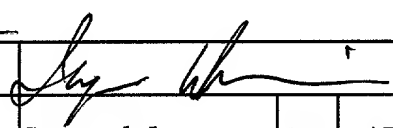
Place Customer Number Bar Code Label here

Name	Registration Number	Name	Registration Number
Richard R. Mybeck	17,886		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☐ Customer Number or Bar Code Label [_____] OR ☒ Correspondence address below

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor: ☐ A petition has been filed for this unsigned inventor

Given Name (first and middle [if any])	Family Name or Surname
ILGA	WINICOV

Inventor's Signature	*(signature)*				Date	9.20.00

Residence: City	Scottsdale	State	AZ	Country	United States	Citizenship	US

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Post Office Address	AZ

City	Scottsdale	State	Arizona	ZIP	85251	Country	US

☐ Additional inventors are being named on the ____ supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto